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Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/577,166 **Application Number** TRANSMITTAL Filing Date May 24, 2000 **FORM** Richard L. SUTHERLAND First Named Inventor 1756 (to be used for all correspondence after initial filing) Art Unit M. ANGEBRANNDT Examiner Name Total Number of Pages in This Submission Attorney Docket Number SAIC0006-US ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached ☐ Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below)? Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT rcou, Reg. No. 33,014 Individual name Signature Date

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**PATENT** 

Docket No: SAIC0006-US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of:

ichard L. SUTHERLAND, et al.

Art Unit:

1756

Serial No. 09/577,166

Examiner:

M. ANGEBRANNDT

Filed: May 24, 2000

For: A

A SYSTEM AND METHOD FOR REPLICATING VOLUME HOLOGRAMS

Office of Finance Refunds Branch

Mail Stop 16 Director, US Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR REFUND

Sir:

In accordance with the requirements of 37 CFR § 1.26 and MPEP § 607.02, the undersigned representative hereby respectfully requests a refund in the amount of \$1280, for the fees associated with the filing of a Notice of Appeal on October 24, 2003 for the above-referenced application. The relevant facts are as follows:

- 1. A Final Office Action was sent by the PTO on April 25, 2003.
- 2. A Response to the Final Office Action was filed on August 25, 2003, along with a petition for extension of time (for one month). The response was thus timely filed.
- 3. As the reply was not docketed by the PTO (not appearing in the PTO's PAIR system), the representative contacted the examiner on October 20, 2003. The examiner verified that the Response to Office Action did not appear in the PAIR system and advised the representative to fax a copy of the Response to Office Action to the PTO.

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Accordingly, the representative faxed a copy of the complete Response to Office Action (including stamped postcard) to the PTO on October 21, 2003.

- 4. To insure that the application did not go abandoned and preserve the pendency of the application, the representative filed a Notice of Appeal (along with a petition for extension of time) on October 24, 2003 (the six-month deadline from the date of the Final Office Action being October 25, 2003).
- 5. On November 25, 2003, noting that the Response to Office Action still had not been docketed by the PTO, the representative contacted the examiner again, and, as a result of the conversation, again faxed a complete copy of the Response to Office Action to the PTO (on the same date).
- 6. The response to office action has now been docketed by the PTO and a Notice of Allowability for the amended application has been received by the representative.

Since the filing of the Notice of Appeal was necessitated by the PTO's failure to docket the timely filed Response to Office Action, the undersigned representative respectfully requests a refund in the amount of \$1280 (Notice of Appeal fee: \$330; Extension for reply within third month fee: \$950). This amount should be credited to the representative's deposit account (No. 501458). If there are any questions pertaining to this matter, the office is invited to contact the undersigned representative at the number below.



Revenue Accounting and Management

Name/Number: 09577166 Total Records Found: 17

Start Date: Any Date End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount Mailroom Date	Payment Method
06/01/2000	00000006	1	<u>101</u>	\$690.00 05/24/2000	CK
06/01/2000	0000007	<u>1</u>	<u>103</u>	\$504.00 05/24/2000	CK
06/01/2000	8000000	<u>1</u>	<u>102</u>	\$312.00 05/24/2000	CK
06/01/2000	00000009	<u>1</u>	<u>998</u>	\$1.00 05/24/2000	CK
09/25/2000	00000065	<u>1</u>	<u>105</u>	\$130.00 09/22/2000	CK
11/01/2000	00000036	<u>1</u>	<u>581</u>	\$40.00 09/22/2000	CK
11/19/2001	00000002	<u>1</u>	<u>126</u>	\$180.00 11/08/2001	DA 501458
05/01/2002	00000162	<u>1</u>	<u>119</u>	\$320.00 04/30/2002	CK ·
05/01/2002	00000163	<u>1</u>	<u>115</u>	\$110.00 04/30/2002	CK
08/01/2002	00000002	1	<u>120</u>	\$320.00 07/30/2002	CK
08/01/2002	00000003	<u>1</u>	<u>115</u>	\$110.00 07/30/2002	CK
02/26/2003	0800000	<u>1</u>	<u>1806</u>	\$180.00 02/25/2003	CK
03/10/2003	00000058	<u>1</u>	<u>1806</u>	\$180.00 03/06/2003	CK
04/16/2003	0000057	<u>1</u>	<u> 1806</u>	\$180.00 04/15/2003	CK
08/26/2003	00000150	<u>3</u>	<u>1251</u>	\$110.00 08/25/2003	CK
10/28/2003	00000042	1	<u>1401</u>	\$330.00 10/24/2003	CK
10/28/2003	00000129	1	<u>1253</u>	\$950.00 10/24/2003	DA 501458

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Respectfully Submitted,

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